		Elapara,		VITTORIE SOCUETION OF THE PROPERTY OF THE PROP				
FORM F (REV. 7	PTO-13! -2005)		ARK OFFICE; U.S. DEPARTMENT OF COMMERCE	ATTORNE: DOCKET NUMBER				
	TR	ANSMITTAL LETTER T	PG5019USw					
		DESIGNATED/ELECTE	U.S. APPLICATION NO. (If known, see 37 CFR 1.5					
C	ON	CERNING A SUBMISS	ION UNDER 35 U.S.C. 371	10/533,168				
INTER	RNATI	IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
		PCT/EP03/12161	October 30, 2003	November 1, 2002				
	TITLE OF INVENTION PHENYLETHANOLAMINE DERIVATIVES FOR THE TREATMENT OF RESPIRATORY DISEASES							
rnei	Y I EJE	DESTRICTION OF THE PROPERTY AND THE	ESS S'OR THE STREET, HOLDER AND	MALORY DIGINGED				
٨٠٠	IC A NI	T(S) FOR DO/EO/US						
			Mary COE, Duncan Stuart HOLMES, Pai	nayiotis Alexandrou PROCOPIOU				
		,,		•				
Applic	ant he	erewith submits to the United State	s Designated/Elected Office (DO/EO/US) the f	ollowing items and other information:				
1.			ns concerning a submission under 35 U.S.C.					
2. 3.			ENT submission of items concerning a submis in national examination procedures (35 U.S.C.					
٥.	L J	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.						
4,		The US has been elected (Article 31).						
5.		A copy of the International Application as filed (35 U.S.C. 371 (c)(2))						
		a. is attached hereto (required only if not communicated by the International Bureau).						
		b.						
		c. 🛘 is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
		a. 🔲 is attached hereto.						
		b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))						
		a. are attached hereto (required only if not communicated by the International Bureau).						
		b. have been communicated	ed by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.						
		d. \square have not been made and	d will not be made.					
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).						
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).						
11.		A copy of the International Preliminary Examination Report (PCT/IPEA/409).						
12.		A copy of the International Search Report (PCT/ISA/210).						
Ite	ms 1	3 to 23 below concern document	(s) or information included:					
13.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
15.		A FIRST preliminary amendment.						
16.		A SECOND or SUBSEQUENT preliminary amendment.						
17.		A substitute specification.						
18.	\boxtimes	A power of attorney and/or change of address letter.						
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.						
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).						
22.		Express Mail Label No.						

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

23. Other items or information: Statement under 37 CPR 3.73(b) Corporate Resolution The following fees have been submitted: 24. Basic national fee \$3000 25. Examination fee (37 CPR 1.492(c)) If his written opinion prepared by ISA/15 or the international proliminary examination report is all other situations. \$200 301 other situations \$300 302 other international fee \$300 303 other international proliminary examination report is all other situations. \$200 303 other international proliminary examination report is all other situations. \$200 304 other situations \$300 305 other international proliminary examination report is an international proliminary examination report is an international search fee (7 CPR 1.492(b)) 305 other international Search fee (7 CPR 1.492(b)) 306 other situations \$300 307 other international Search fee (7 CPR 1.492(b)) 308 other international Search fee (7 CPR 1.492(b)) 309 other situations \$300 300 other situations \$300 other situations \$300 300 other situations \$300 other situations \$	U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION I					NO.	ATT	ET NUMBER		
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24. Basic national fee \$50.00 S \$0.00	The following	toos have heen s	uhmitted.					CALC	ULATIONS	PTO USE
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as an International Searching Authority	by IPEA/US	indicates all clain	ns satisfy p	rovisions of PCT Article	33(1)-(4)	\$0		20.00	
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All other situations. \$500 TOTAL OF 24, 25 and 26 = \$\$0.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (38 Section in an electronic med	International Sea	rch Report prepare communicated to t	ed by an IS he US by t	A other than the US an ne IB	d prov	ided to t				
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fraction thereof (round up to a whole -100 = 0				**************************************		1				
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims -20 = 0 x \$550.00 \$ \$0.00 Independent claims -3 = 0 x \$200.00 \$ \$0.00 MULTIPLE DEPENDENT CLAIMS (if applicable)	Total Sheets			ATE						
declaration after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims - 20 = 0	- 100 =	0 /50=		0		× \$2	250.00	\$	\$0.00	
CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims -20 = 0								\$	\$130.00	
Independent claims	****									
MULTIPLE DEPENDENT CLAIMS (if applicable)	Total claims		- 20 =	0	×	5	\$50.00	\$	\$0.00	
TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property TOTAL FEES ENCLOSED = \$ \$130.00 Amount to be \$ Amount to be	Independent claims - 3 = () x \$2			200.00	S	\$0.00				
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by SUBTOTAL = \$ \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$0.00 TOTAL FEES ENCLOSED = \$ \$130.00 Amount to be \$ \$	MULTIPLE DEPE	ENDENT CLAIMS	(if applicab	le) 🗆	+	\$3	360.00	\$	\$0.00	
SUBTOTAL = \$ \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property TOTAL FEES ENCLOSED = \$ \$130.00 Amount to be \$	TOTAL OF ABOVE CALCULATIONS =							\$	\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$0.00 TOTAL FEES ENCLOSED = \$ \$130.00 Amount to be \$								\$	\$0.00	
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PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a.		A check in the amount of \$ to cover the ab	oove fees is enclose	d.				
b.	X	Please charge my Deposit Account No. 07-1392 in A duplicate copy of this sheet is enclosed.	the amount of \$	\$130.00	to cover the above fees.			
c.	X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.						
d.		Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
			SIGNATUR	₹Ĕ				
23347			Robert J.	Robert J. Smith				
			NAME	NAME 40,820				
			40,820					
				TION NUMBE	:R			
			3-2	9-06				
			DATE					



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARTMENT OF COMMU-United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viiginia 22313-1450 www.usplo.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. PG5019USw

10/533,168 Keith Biggadike

INTERNATIONAL APPLICATION NO.

23347 GLAXOSMITHKLINE CORPORATE INTELLECTUAL PROPERTY, MAI B475 FIVE MOORE DR., PO BOX 13398 RESEARCH TRIANGLE PARK, NC 27709-3398

PCT/EP03/12161 I.A. FILING DATE PRIORITY DATE 10/30/2003 11/01/2002

> **CONFIRMATION NO. 9215 371 FORMALITIES LETTER**



Date Mailed: 02/13/2008

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Copy of IPE Report filed on 04/29/2005
- Preliminary Amendments filed on 04/29/2005
- Information Disclosure Statements filed on 04/29/2005
- Oath or Declaration filed on 01/31/2008
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005
- Specification filed on 04/29/2005
- Claims filed on 04/29/2005
- · Abstracts filed on 04/29/2005

Applicant's response filed 01/31/2008 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/08/2006 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- Surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 was not received.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202